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PATENTS, TRADEMARKS & COPYRIGHTS

J1017 U.S. PTO
10/053176
01/18/02

ATTORNEY DOCKET: 14240

J1017 U.S. PTO
10/053176
01/18/02

ASSISTANT COMMISSIONER OF PATENTS

WASHINGTON, D.C. 20231

EXPRESS MAIL NO: EL881625575US

Sir:

Transmitted herewith for filing is the patent application of
Inventor/Owner: MCKINLEY, Kevin L.

For: FLUID FILTRATION DEVICE

Enclosed are:

- ☒ 2 sheet(s) of **informal** drawings.
☐ An assignment of the invention to
☐ A certified copy of a application.
☒ Inventor claims small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
☒ Declaration/Statement and Power of Attorney.
☒ Specification and Claims.
☐ ___ copies of prior art references listed on the PTO 1449 Form.

The filing fee has been calculated as shown below:

		SMALL ENTITY		OTHER THAN A SMALL ENTITY	
		Rate	Fee	Rate	Fee
FOR:	(Col. 1) (Col. 2) No. Filed No. Extra				
BASIC FEE			\$ 370.00		\$
TOTAL CLAIMS	-20*	x 9=	\$	x18=	\$
INDEP CLAIMS	- 3*	x39=	\$	x78=	\$
<input type="checkbox"/> MULTIPLE DEP. CLAIM PRESENTED		x130=	\$	x260=	\$
	Assignment	\$		\$	

*If the difference in Col 1.
is less than zero, enter "0"
in Col 2.

- ☐ Please charge my Deposit Account No. 02-2273 in the amount of \$.
A duplicate copy of this sheet is enclosed.
☒ PTO Forms PTO-2038 (dup.) and PTO/SB/17 (dup.).
☒ The Commissioner is hereby authorized to charge any additional required fees associated with this communication or any fees arising during the pending of this application, or credit any overpayment to Account No. 02-2273. A duplicate copy of this sheet is enclosed.
☒ **EXCEPT** the issue fee set forth in 37 CFR 1.18 **only** upon the return of the executed Issue Fee Transmittal Form PTOL 85b, under 37 CFR 311(a) to the office.
☒ Non-Publication Request (37 C.F.R. §1.213)
☒ Post Card.

Date:

15 Jan 2002

Theodore J. Bielen, Jr.
 THEODORE J. BIELN, JR.
 Reg. No. 27,420

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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (11-00)

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	15 January 02
First Named Inventor	McKINLEY
Examiner Name	
Group Art Unit	
Attorney Docket No.	14240

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number

Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☒ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	370.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims -20** = X =

Independent Claims -3** = X =

Multiple Dependent =

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

SUBMITTED BY

Name (Print/Type)	THEODORE J. BIELEN, JR.	Registration No. (Attorney/Agent)	27,420	Complete (if applicable)	Telephone	(925) 937-1515
Signature		Date	15 Jan. 02			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor MCKINLEY, K. L.Title Fluid Filtration DeviceAtty Docket Number 14240

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

15 Jan 2002
Date


Signature

THEODORE J. BIELEN, JR.

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

2002 JAN 15 09:50 AM

Atty Dkt: 14240

**CERTIFICATE OF MAILING BY
EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "**EXPRESS MAIL**" in an envelope addressed to BOX PATENT APPLICATION, U.S. PATENT AND TRADEMARK OFFICE, P.O. Box 2327, Arlington, VA 22202 on January 15, 2002. Express Mail No. EL881625575US.

By:



THEODORE J. BIELEN, JR.

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TJB

2003-10-09 14:50